

SEC Rule 606 Report - Citigroup Global Markets 1-6 - 1st Qtr, 2019

(Percentages of Total Non-Directed Orders Routed to Individual Route Venues)

Securities Listed on New York Stock Exchange Euronext

Route Venues	Total (%)	Market (%)	Limit (%)	Other (%)
Total	100.00	0.43	8.57	91.00
Citigroup Global Markets	41.61	1.83	0.15	45.70
IEX Exchange	13.81	2.35	12.69	13.97
New York Stock Exchange	9.87	36.13	11.75	9.57
UBS ATS	9.76	0.00	0.00	10.73
DirectEdge ECN (EDGX)	6.11	1.58	25.58	4.30
Citi Order Routing & Exec LLC	4.51	29.53	8.06	4.05
Nasdaq Execution Services	3.51	7.60	12.47	2.65
BATS Y Exchange	2.41	7.21	11.28	1.55

99.89% of total customer orders were non-directed orders.

Securities Listed on The Nasdaq Stock Market

Route Venues	Total (%)	Market (%)	Limit (%)	Other (%)
Total	100.00	0.69	2.20	97.11
Citigroup Global Markets	42.85	0.04	0.06	44.13
Nasdaq Execution Services	13.02	32.94	10.82	12.93
IEX Exchange	10.05	1.48	0.53	10.32
Citi Order Routing & Exec LLC	8.94	47.91	70.69	7.26
DirectEdge ECN (EDGX)	8.34	1.69	11.22	8.32
UBS ATS	7.01	0.00	0.00	7.22

99.69% of total customer orders were non-directed orders.

Securities Listed on NYSE MKT or other National Securities Exchange

Route Venues	Total (%)	Market (%)	Limit (%)	Other (%)
Total	100.00	2.44	19.41	78.15
Citi Order Routing & Exec LLC	29.36	51.37	35.36	27.19
DirectEdge ECN (EDGX)	13.64	1.26	22.48	11.83
Nasdaq Execution Services	12.74	9.90	16.32	11.93
NYSE Arca	10.17	6.68	8.26	10.76
IEX Exchange	5.26	1.62	0.59	6.53
BATS Y Exchange	5.08	5.16	4.83	5.14
New York Stock Exchange	4.85	7.50	2.87	5.26
BATS Stock Exchange	4.38	3.24	2.99	4.76

DirectEdge ECN (EDGA)	4.09	8.09	2.93	4.26
Citigroup Global Markets	3.25	0.04	0.06	4.14

99.62% of total customer orders were non-directed orders.

Exchange-Listed Options

Route Venues	Total (%)	Market (%)	Limit (%)	Other (%)
Total	100.00	8.79	91.18	0.04
Nasdaq Option Market	46.02	0.02	50.47	0.00
Philadelphia Exch	23.06	45.40	20.91	0.00
Chicago Board Options Exchange	8.53	36.95	5.78	38.40
BATS Stock Exchange	6.45	0.05	7.07	0.00
Boston Options Exchange	3.23	11.25	2.46	0.00
Archipelago LLC	2.51	0.02	2.75	0.00
MIAX PEARL Exchange	2.35	0.01	2.58	0.00

95.23% of total customer orders were non-directed orders.

Disclosure Information:

[CORE] Citi Order Routing and Execution, LLC. is an affiliate of Citigroup Global Markets Inc. and potentially stands to profit by routing order flow.

[SBSH]

Citigroup Global Markets Inc. potentially stands to profit by trading as principal with its customers' orders.

Citigroup Global Markets Inc. ("CGMI") may collect payment on orders (excluding orders from CGMI's Smith Barney division) that are transacted for certain unaffiliated broker-dealers, via the SEC-approved mandatory marketing fee programs adopted by U.S. options exchanges. CGMI's affiliate broker-dealer, Citigroup Derivative Markets Inc. ("CDMI") acts as a market maker or specialist on most U.S. options exchanges. CDMI stands to profit by trading as an options market maker and/or specialist with order flow received from CGMI.

How to read this report:

Securities Listed on New York Stock Exchange

Route Venues	Total (%)	Market (%)	Limit (%)	Other (%)
Total (%)	100	46	47	7
Route Venue A	45	24	61	91
Route Venue B	32	57	12	5
Route Venue C	8	13	15	0
Route Venue D	5	6	12	4

86% of total customer orders were non-directed orders.

Legend

Row/Column	Description
Total row	shows the percentages of all non-directed orders for the section that are market orders, limit orders or other orders.
Total column	shows the percentages of all non-directed orders that were routed to each venue.
Market column	shows the percentages of all non-directed market orders for the section that were routed to each venue.
Limit column	shows the percentages of all non-directed limit orders for the section that were routed to each venue.
Other column	shows the percentages of all non-directed other orders for the section that were routed to each venue.