

SEC Rule 606 Report - Citigroup Global Markets 1-6 - 3rd Qtr, 2018

(Percentages of Total Non-Directed Orders Routed to Individual Route Venues)

Securities Listed on New York Stock Exchange Euronext

Route Venues	Total (%)	Market (%)	Limit (%)	Other (%)
Total	100.00	0.49	13.48	86.03
IEX Exchange	20.30	0.96	4.60	22.87
New York Stock Exchange	17.48	19.07	22.54	16.68
UBS ATS	17.37	0.00	0.00	20.19
Citigroup Global Markets	10.69	0.01	0.12	12.41
Citi Order Routing & Exec LLC	7.41	44.94	7.04	7.26
Nasdaq Execution Services	6.51	7.43	20.67	4.28
BATS Y Exchange	5.30	6.17	16.76	3.50
BATS Stock Exchange	3.62	8.44	6.06	3.21
NASDAQ OMX BX	3.12	2.90	7.90	2.38

58.91% of total customer orders were non-directed orders.

Securities Listed on The Nasdaq Stock Market

Route Venues	Total (%)	Market (%)	Limit (%)	Other (%)
Total	100.00	0.93	2.81	96.26
Nasdaq Execution Services	18.10	17.98	4.60	18.50
Citi Order Routing & Exec LLC	15.05	51.61	78.30	12.85
IEX Exchange	14.20	0.80	0.63	14.73
Citigroup Global Markets	12.50	0.04	0.15	12.98
UBS ATS	11.30	0.00	0.00	11.73
BATS Y Exchange	4.80	4.34	1.52	4.90
NYSE Arca	4.39	3.35	4.69	4.39
BATS Stock Exchange	4.32	7.53	1.13	4.38
DirectEdge ECN (EDGX)	4.08	7.17	4.71	4.03
New York Stock Exchange	3.44	2.29	1.54	3.50
NASDAQ OMX BX	3.26	1.67	0.79	3.35

61.60% of total customer orders were non-directed orders.

Securities Listed on NYSE MKT or other National Securities Exchange

Route Venues	Total (%)	Market (%)	Limit (%)	Other (%)
Total	100.00	1.71	21.78	76.52
Citi Order Routing & Exec LLC	33.93	47.23	29.06	35.02
NYSE Arca	17.59	7.81	20.22	17.06

BATS Y Exchange	7.63	11.65	11.53	6.43
BATS Stock Exchange	6.35	12.65	7.90	5.77
NYSE MKT	5.75	2.41	3.80	6.38
DirectEdge ECN (EDGX)	5.26	7.35	8.57	4.26
Nasdaq Execution Services	5.17	3.90	10.65	3.64
New York Stock Exchange	3.85	0.59	1.99	4.45
Citigroup Global Markets	3.79	0.00	0.08	4.93
IEX Exchange	3.10	0.46	1.03	3.75

95.08% of total customer orders were non-directed orders.

Exchange-Listed Options

Route Venues	Total (%)	Market (%)	Limit (%)	Other (%)
Total	100.00	8.91	91.06	0.03
Nasdaq Option Market	42.51	0.60	46.63	0.00
Philadelphia Exch	21.83	34.97	20.54	2.51
Boston Options Exchange	9.87	53.05	5.64	3.77
BATS Stock Exchange	7.71	0.50	8.41	2.51
Chicago Board Options Exchange	4.66	5.14	4.59	85.64
Archipelago LLC	2.48	0.27	2.69	0.00
MIAX PEARL Exchange	2.31	1.24	2.41	0.00

96.04% of total customer orders were non-directed orders.

Disclosure Information:

[CORE] Citi Order Routing and Execution, LLC. is an affiliate of Citigroup Global Markets Inc. and potentially stands to profit by routing order flow.

[SBSH]

Citigroup Global Markets Inc. potentially stands to profit by trading as principal with its customers' orders.

Citigroup Global Markets Inc. ("CGMI") may collect payment on orders (excluding orders from CGMI's Smith Barney division) that are transacted for certain unaffiliated broker-dealers, via the SEC-approved mandatory marketing fee programs adopted by U.S. options exchanges. CGMI's affiliate broker-dealer, Citigroup Derivative Markets Inc. ("CDMI") acts as a market maker or specialist on most U.S. options exchanges. CDMI stands to profit by trading as an options market maker and/or specialist with order flow received from CGMI.

How to read this report:

Securities Listed on New York Stock Exchange

Route Venues	Total (%)	Market (%)	Limit (%)	Other (%)
Total (%)	100	46	47	7
Route Venue A	45	24	61	91
Route Venue B	32	57	12	5
Route Venue C	8	13	15	0
Route Venue D	5	6	12	4

86% of total customer orders were non-directed orders.

Legend

Row/Column	Description
Total row	shows the percentages of all non-directed orders for the section that are market orders, limit orders or other orders.
Total column	shows the percentages of all non-directed orders that were routed to each venue.
Market column	shows the percentages of all non-directed market orders for the section that were routed to each venue.
Limit column	shows the percentages of all non-directed limit orders for the section that were routed to each venue.
Other column	shows the percentages of all non-directed other orders for the section that were routed to each venue.