

## SEC Rule 606 Report - Citigroup Global Markets 1-6 - 4th Qtr, 2017

### (Percentages of Total Non-Directed Orders Routed to Individual Route Venues)

#### Securities Listed on New York Stock Exchange Euronext

Route Venues	Total (%)	Market (%)	Limit (%)	Other (%)
Total	100.00	0.11	6.26	93.63
Citigroup Global Markets	83.91	1.69	13.77	88.69
New York Stock Exchange	5.04	23.41	38.50	2.78
IEX Exchange	2.11	0.29	1.73	2.14

99.38% of total customer orders were non-directed orders.

#### Securities Listed on The Nasdaq Stock Market

Route Venues	Total (%)	Market (%)	Limit (%)	Other (%)
Total	100.00	0.29	0.89	98.82
Citigroup Global Markets	83.88	0.62	0.39	84.88
Nasdaq Execution Services	4.14	25.95	6.99	4.05
Citi Order Routing & Exec LLC	4.04	42.38	79.58	3.25

99.91% of total customer orders were non-directed orders.

#### Securities Listed on NYSE MKT or other National Securities Exchange

Route Venues	Total (%)	Market (%)	Limit (%)	Other (%)
Total	100.00	1.28	15.10	83.61
Citigroup Global Markets	48.25	0.44	15.23	54.94
Citi Order Routing & Exec LLC	25.87	61.53	30.71	24.45
NYSE Arca	9.25	8.54	18.26	7.63
BATS Y Exchange	3.13	8.95	7.07	2.33
NYSE MKT	3.08	0.51	2.51	3.22
DirectEdge ECN (EDGX)	2.95	8.27	9.78	1.63

99.99% of total customer orders were non-directed orders.

#### Exchange-Listed Options

Route Venues	Total (%)	Market (%)	Limit (%)	Other (%)
Total	100.00	8.88	91.09	0.02
Nasdaq Option Market	36.35	0.01	39.90	0.00
BATS Stock Exchange	14.48	0.05	15.89	0.00
Philadelphia Exch	12.91	30.69	11.18	0.00
Boston Options Exchange	10.63	56.62	6.15	0.00
C2 Options Exchange	6.01	0.00	6.60	0.00

Chicago Board Options Exchange	5.55	7.72	5.32	95.15
Archipelago LLC	5.28	0.01	5.80	0.00

96.80% of total customer orders were non-directed orders.

## Disclosure Information:

[AUTO]

Automated Trading Desk Financial Services, LLC. is an affiliate of Citigroup Global Markets Inc. and potentially stands to profit by trading as principal with its customers' orders.

[SBSH]

Citigroup Global Markets Inc. potentially stands to profit by trading as principal with its customers' orders.

Citigroup Global Markets Inc. ("CGMI") may collect payment on orders (excluding orders from CGMI's Smith Barney division) that are transacted for certain unaffiliated broker-dealers, via the SEC-approved mandatory marketing fee programs adopted by U.S. options exchanges. CGMI's affiliate broker-dealer, Citigroup Derivative Markets Inc. ("CDMI") acts as a market maker or specialist on most U.S. options exchanges. CDMI stands to profit by trading as an options market maker and/or specialist with order flow received from CGMI.

## How to read this report:

### Securities Listed on New York Stock Exchange

Route Venues	Total (%)	Market (%)	Limit (%)	Other (%)
Total (%)	100	46	47	7
Route Venue A	45	24	61	91
Route Venue B	32	57	12	5
Route Venue C	8	13	15	0
Route Venue D	5	6	12	4

86% of total customer orders were non-directed orders.

## Legend

Row/Column	Description
Total row	shows the percentages of all non-directed orders for the section that are market orders, limit orders or other orders.
Total column	shows the percentages of all non-directed orders that were routed to each venue.
Market column	shows the percentages of all non-directed market orders for the section that were routed to each venue.
Limit column	shows the percentages of all non-directed limit orders for the section that were routed to each venue.
Other column	shows the percentages of all non-directed other orders for the section that were routed to each venue.