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### **SEC Rule 606 Disclosure**

In accordance with SEC Rule 606, Citigroup Global Markets Inc. is making publicly available a quarterly report on the routing by Citigroup Global Markets Inc. of non-directed orders in NMS stocks that are submitted on a held basis and of non-directed orders that are customer orders in NMS securities that are option contracts. The report includes the following sections as required by SEC Rule 606: (1) a section for NMS stocks, separated by securities that are included in the S&P 500 Index as of the first day of that quarter and other NMS stocks, and (2) a section for NMS securities that are option contracts. The report also, among other things, sets forth percentages of various types of non-directed orders that were routed for execution, identifies venues to which significant amounts of non-directed orders were routed for execution, and discusses material aspects of Citigroup Global Markets Inc.'s relationship with venues.

The data required to be presented in the report by SEC Rule 606 is intended only to provide a general overview of order routing practices. Accordingly, the data required to be presented in the report by SEC Rule 606, alone, is not a reliable basis upon which to assess the satisfaction of a broker-dealer's best execution obligation.

Though the data presented in the report have been obtained from sources believed to be reliable, the data presented in the report are not subject to complete and ongoing audits and, as such, may contain errors, inadvertent omissions, or the like. Citigroup Global Markets Inc. and its affiliates disclaim liability for any direct, indirect, punitive, special, consequential, or incidental damages related to the report or the use of the report.

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